

**Factsheet:** Complying with the UKRI Open Access policy for EPSRC-funded manuscripts submitted to IEEE journals on or after 1 Apr 2022

UKRI Open Access policy: <https://www.ukri.org/publications/ukri-open-access-policy/>  
Applies to UKRI-funded manuscripts only – with submission dates on or after 1 Apr 2022

### Route 1: publishing Gold Open Access

In order for the library to be able to pay the Open Access publishing fee for an EPSRC-funded manuscript in an IEEE journal, the journal needs to be Open Access. These titles are listed at <https://open.ieee.org/publishing-options/topical-journals/> and also include *IEEE Access* and *IEEE Photonics*.

If you are unsure of whether a specific IEEE title is OA please feel free to check with [openaccess@strath.ac.uk](mailto:openaccess@strath.ac.uk) (ideally before manuscript submission).

### Route 2: depositing a copy of the full-text accepted manuscript under no embargo period and a CC BY licence

Two actions are required in order to meet the UKRI OA policy through this route

1. The Rights Retention statement needs to be included in the submitted manuscript, see an example below

We want to thank Paul Griffin and Erling Riis for fruitful discussions on MEMS requirements and application directions. We acknowledge funding for an NPL studentship, the UK Engineering and Physical Sciences Research Council (grant EP/S032606/1) and UK Royal Academy of Engineering (Engineering for Development Fellowship scheme RF1516/15/8). For the purpose of open access, the authors have applied a Creative Commons Attribution (CC BY) licence to any Author Accepted Manuscript version arising from this submission. *Corresponding author: R. Bauer*

2. A request for permission needs to be sent to [copyrights@ieee.org](mailto:copyrights@ieee.org) so that the publisher explicitly agrees for this deposit to happen. Their positive reply should be forwarded to [openaccess@strath.ac.uk](mailto:openaccess@strath.ac.uk) so that the CC BY licence can be applied to the accepted manuscript in Pure. A suggested wording for this request message is provided below:

To: [copyrights@ieee.org](mailto:copyrights@ieee.org)

Subject: Request for permission to deposit UKRI-funded accepted manuscript under a CC BY licence

Hello,

In line with my research funder's Open Access policy (UKRI's, <https://www.ukri.org/publications/ukri-open-access-policy/>), this is to request permission for depositing the full-text version of my recently accepted EPSRC-funded manuscript XXX in the IEEE journal YYY into my institutional system under no embargo period and under a CC BY 4.0 licence.

This request follows the recommended procedure provided by our research support department below.

Please let me know at your earliest convenience if that would be ok with your policies, thanks.

Best regards,

*[Corresponding author for the manuscript]*

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**From:** Andrew Brown <[Andrew@contentonline.com](mailto:Andrew@contentonline.com)>

**Sent:** 06 May 2022 13:07

**To:** Brennan, Amanda <[Amanda.Brennan@gcu.ac.uk](mailto:Amanda.Brennan@gcu.ac.uk)>

**Subject:** IEEE UKRI Compliant OA Update

Dear Amanda

As you may be aware IEEE recently updated their Green Open Access policy for researchers submitting work funded by UKRI or Wellcome Trust. All accepted Journal Article and Conference Papers can be made Open Access in compliance with the UKRI "Route 2" option.

- Authors may deposit the Author's Accepted Manuscript in an institutional or subject repository at the time of final publication.
- No delay or 'embargo period' between publication of the Version of Record and open access of will be applied.
- The deposited version will have a CC BY licence

Authors may request a for a Creative Commons Attribution License (CC BY) to be allowed on the accepted version of their article. This new policy covers both Journal Articles and Conference Proceedings.

To furth meet the Route 2 requirements, the submissions may include the following text in the funding acknowledgement section of the manuscript and any cover letter/note accompanying the submission: **'For the purpose of open access, the author(s) has applied a Creative Commons Attribution (CC BY) licence to any Author Accepted Manuscript version arising'**.

To meet the commitments set out in the Concordat on Open Research Data a data access statement may also be included as required by IEEE.

Authors who require a CC BY license for an accepted manuscript may send a request for consideration to IEEE's Intellectual Property Rights group at [copyrights@ieee.org](mailto:copyrights@ieee.org) as soon as their article is accepted.

IEEE and Content Online are working to have this information posted in multiple places on the IEEE Open Access and Content Online EJNI webpages to further assist Authors in being compliant.

In the longer term the aim is to auto-mate the process with the Authors CC RightsLink workflow.

We hope this cost neutral solution will help UK Authors, from all institutions, continue to publish IEEE without introducing further cost burdens.

Regards Andrew

Andrew Brown  
Head of Sales, Content Online